

**Trading Tariff**  
**交易收費表**

Fee and Classification 費用及收費類別	Percentage / Amount 比率 / 金額
<b>(A) Securities Trading 證券及交易服務</b>	
Commission Fee 經紀佣金	0.25% (standard rate per each transaction, minimum HK\$80.00) 0.25% (標準為每宗交易最低收費為港幣 80 元) Can negotiate with Account Executive if transactions volume is sufficient 如交易額多者可與客戶主任面議
Levy (charged by SFC) 交易徵費 (證監會收費)	0.0027% on trading amount 交易金額的 0.0027%
Investor Levy 投資者賠償徵費	0% on trading amount 交易金額的 0%
Trading Fee (charged by SEHK) 交易費 (交易所收費)	0.005% on trading amount 交易金額的 0.005%
Stamp duty 印花稅	0.13% on trading amount 交易金額的 0.13% Notes 備註： 1) Paid by buyer and seller 買賣雙方均須繳付 2) Payment roundup to HK\$1.00 該款項中不足港幣 1 元之數，須當作港 1 元計算
CCASS charge 交收費用	0.0025% on trading amount (minimum HK\$2.00) 0.0025% (最低收費 2 元)
<b>(B) Physical shares &amp; / or Settlement 處理實物股票及交收的服務</b>	
Physical share deposit 存入實物股票費	Stamp duty HK\$5.00 payable to SEHK per each transfer deed 收取支交易所轉手釐印費，每張轉手紙收港幣 5 元
Withdrawal of Physical share 提取實物股票費	HK\$5.00 per lot (HK\$4.00 per lot if charge amount over HK\$35,000.00) and HK\$50.00 handling fee for each share category 每手港幣 5 元 (如收費超越 HK\$35,000.00，每手港幣 4 元)，另每種股票收手續費港幣 50 元
Settlement Instruction (SI) / Investor Settlement Instruction (ISI) (Apply for share transfer out) 交收指示費 (適用於轉出股票)	0.01% on market value of each kind of share (maximum HK\$200.00, minimum HK\$20.00) 按每種股票上日收市價計之貨值 0.01% (最高收費為港幣 200 元，最低收費為港幣 20 元)
Mandatory Buy-in 強制性補購股份費	(0.25% on trading amount - Minimum HK\$100) + charges and penalty from Clearing House (0.25%交易金額 - 最低收費港幣 100 元) + 結算公司買入股票有關罰款及費用
<b>(C) Account Fee 帳戶服務</b>	
Custody Fee / Margin share custody fee 託管費 / 抵押股票託管費	Waive 豁免
<b>(D) Nominee &amp; Corporate Action Fee 代理人服務及企業行動</b>	
Scrip Fee 登記過戶費 (在截止過戶日期)	HK\$1.50 per lot 每手港幣 1.5 元
Dividend Payment 代收現金股息費	0.5% on dividend payment (Min. HK\$25.00/RMB25.00/US\$5.00) + CCASS charge (Dividend payment x 0.12%) 按股息金額 0.5%計算 (最低收費為港幣 25 元/人民幣 25 元/美金 5 元+ 中央結算收費 (股息總額 x 0.12%)
Cash dividend with scrip option payment 現金股息以股代息	0.5% on scrip dividend payment (Minimum HK\$25.00 / RMB25.00 / US\$5.00) 按現金股息以股代息 0.5%計算 (最低收費為港幣 25 元/人民幣 25 元/美金 5 元)
Bonus, Bonus Warrant, Rights, Split /Consolidation 代收紅股、認股證、供股權及分拆合併	HK\$2.00 per board lot (Minimum HK\$20.00 / Maximum HK\$100.00) 每手港幣 2 元 (最低收費為港幣 20 元 / 最高收費為港幣 100 元)
Voting 公司投票費	HK\$50.00 per instruction 每個指示港幣 50 元



**耀豐證券有限公司**  
**Yieldful Securities Limited**

Hong Kong Exchange Participant CE No. ALS554  
(香港交易所參與者) 中央編號: ALS554

Rights subscription 代客行使供股權費	HK\$100.00 for each subscription + CCASS charge (HK\$0.80 per lot) 每次收費港幣 100 元 + 結算公司收費 (每手收港幣 0.8 元)
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Warrant Exercise / Privatization / Share Offer 行使認股權證、公司私有化、收購費	0.25% (min. HK\$100.00) + CCASS charges 0.25% (最低收費港幣 100 元) + 中央結算收費
Claim for non-receipt rights 代申請索還未領取權益費	Minimum HK\$600.00 + CCASS charges 每單手續費至少港幣 600 元正 + 中央結算收費

<b>Other</b> <b>其他服務</b>	
IPO Subscription (Cash) 認購新股手續費 (現金)	Waive 豁免
IPO subscription (margin finance) 認購新股手續費 (貸款認購)	HK\$100.00 港幣 100 元
Interest on margin finance 認購證券的借貸利息收費	According to market rate 因應市場利率而定
Cash client late settlement interest (p.a.) 現金帳戶過期利息 (年息)	Prime rate+7% (Prime rate according to HSBC quoting) 最優惠利率+7% (最優惠利率以匯豐銀行公報利率為準)
Margin client debit balance interest (p.a.) 保證金帳戶欠款利息 (年息)	Prime rate+7% (Prime rate according to HSBC quoting) 最優惠利率+7% (最優惠利率以匯豐銀行公報利率為準)
Re-print daily / monthly statement 重印成交單 / 日結單 / 月結單	Nearest 3 months – waive 最近 3 個月 – 免費 3 months before – HK\$100.00 多於 3 個月- 港幣 100 元
Withdrawal Fee 提款收費	Cheque – Waive 支票 – 免費 Telegraphic transfer (TT) and local transfer (CHATS) – according to bank charge 海外電匯 (TT)及銀行跨行即時轉款(CHATS) – 根據銀行收費 Exchange rate according to bank rate when execution (limited to currency accepted by bank in Hong Kong) 匯率按當時銀行牌價收取 (只限香港銀行可自由對換之外幣)
Cheque returned charges 退票手續費	HK\$100.00 港幣 100 元
Letter of confirmation on asset 資產證明信函	HK\$200.00 per letter 每封信港幣 200 元

另代收費用，如有

以上收費謹供參考，本公司可隨時修訂而不作另行通知。